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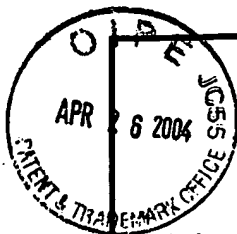


PTO/SB/21 (6-99)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
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| Application Number 09/751,271 | | | |
| | | Filing Date December 28, 2000 | |
| | | First Named Inventor Alferness, et al. | |
| | | Group/Art Unit 3732 | |
| | | Examiner Name K. Odlund | |
| Total Number of Pages in This Submission 6 | Attorney Docket Number 29912-701.201 | | |

ENCLOSURES (check all that apply)

| | | |
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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Notice to File Missing Parts under 37 CFR 1.53(b) | <input type="checkbox"/> Assignment & Recordation Cover Form <input type="checkbox"/> Declaration For Utility Patent Application <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney By Assignee <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Itemized return postcard |
| Remarks The Commissioner is hereby authorized to charge and credit deposit account 23-1415 (Attorney Docket No. 29912-701.201) for any charge of credit, overpayment, or any additional fees required. | | |

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| | | | |
|--------------------------------|---|-------------------------|--------|
| Firm or Individual name | James R. Shay, Reg. No. 32,062 WILSON SONSINI GOODRICH & ROSATI | | |
| Signature | | | |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No. 29912.701.201

| | | |
|--|---|------------------------|
| In re Patent Application of |) | ° PATENT APPLICATION |
| |) | |
| Inventor(s): Alferness, et al. |) | |
| |) | Group Art Unit: 3732 |
| Application No.: 09/751,271 |) | |
| |) | Confirmation No.: 8995 |
| Filed: December 28, 2000 |) | |
| |) | Examiner: K. Odlund |
| Title: Mitral Valve Constricting Device, |) | |
| System And Method |) | |
| <hr/> | | |

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AMENDMENT

Sir/Madam:

In response to the final Office action of March 11, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.